

10-01-0

Please type a plus sign (+) inside this box [+]

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



UTILITY PATENT APPLICATION TRANSMITTAL	
(Only for new nonprovisional applications under 37 CFR 1.53(b))	
D12214	

attorney Docket No. 42390P12314 First Named Inventor Colemon, J. Express Mail Label No. EL899343495US

**ADDRESS TO: Assistant Commissioner for Patents** 

**Box Patent Application** Washington, D. C. 20231

ADD	LICATION	I ELEMENTS		) ]
		NELEMENTS  Apter 600 concerning utility patent application co	ntents.	
1.	<u>x</u>	Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)		
2.		Applicant Claims Small Entity Status. (37 CFR 1.27)	EXPERTIONAL OFFICIAL CONTROL OF THE PROPERTY O	
3.	<u>x</u>	Specification (Total Pages	I horsely certify that I am causing this purious deposited with the United States Postal Service Mail Post Office to Addressee" service on this shows and that this paper or fee has been admissioner for Patents, Ividad Date of Deposit	use to be use userted
4.	<u>x</u>	Drawings(s) (35 USC 113) (Total Sheets 4)		
5.		Oath or Declaration (Total Pages)		
		a Newly Executed (Original or Copy)		
		b Copy from a Prior Application (37 CFR 1.63( (for Continuation/Divisional with Box 17 com		
		i. <u>DELETIONS OF INVENTOR(S)</u> Signe inventor(s) named in the prior application and 1.33(b).		
		c Unsigned.		
6.		Application Data Sheet. (37 CFR 1.76)		
7.		CD-ROM or CD-R in duplicate, large table or Computer Program (Append	ix)	
8.	(if applicable a.	Nucleotide and/or Amino Acid Sequence Submission , all necessary) Computer Readable Form (CRF)		
	b	Specification Sequence Listing on: i CD-ROM or CD-R (2 copies); or ii paper Statement verifying identity of above copies		

Docket No. 42390P12314

ACCOMPANYING APPLICATION PAPER						
ACCOMPANYING APPLICATION PARTS						
Assignment Papers (cover sheet & documents(s)) a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)						
b. Power of Attorney						
11 English Translation Document (if applicable)						
12 a. Information Disclosure Statement (IDS)/PTO-1449						
b. Copies of IDS Citations						
13 Preliminary Amendment						
14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)						
15 Certified Copy of Priority Document(s) (if foreign priority is claimed)						
16 Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.						
17. X Other: Express Mail Certificate						
18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:						
Continuation Divisional Continuation-in-part (CIP) Of Prior Application No.: Examiner Group Art Unit						
(which is a continuation/ divisional/ CIP of prior application no, which is a continuation/ divisional/ CIP of prior application no, (List entire chain of priority)						
For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  18B. Statement under 37 CFR 3.73(b) for continuing application:						
The undersigned states that (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No Frame No (or a copy of which is attached).						
19. Correspondence Address						
Customer Number or Bar Code Label						
or (Insert Customer No. or Attach Bar Code Label here)  X Correspondence Address Below						
NAME Gordon R. Lindeen III						
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP						
ADDRESS 12400 Wilshire Boulevard						
Seventh Floor						
CITY Los Angeles STATE California ZIP CODE 90025-1026						
Country U.S.A. TELEPHONE (303)740-1980 FAX (303)740-6962						
Name (PRINT/TYPE): Gordon R. Lindeen III Registration No.: 33,192						
Signature: All Nadym at Date: September 28, 2001						

Docket No. 42390P12314 Express Mail No. EL899343495US

ļ.

PTO/SB/17(11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	•			FEE TRANSMITTAL	FOR FY 2001
Com	olete if Kn	own.		TOTAL AMOUNT OF PAYME	ENT (\$) \$1076.00
	cation No				
	Date C		ly Herev	with	
First	Named In	ventor_(	Colemor	n, J.	
	Art Unit _				
	iner Name ley Docket				
	HOD OF			-	
1.	[ X ]	The Co	mmiss	•	o charge indicated fees and credit
:		Depos	it Acco	unt Number <u>02-2666</u> unt Name	
	ιχı				er 37 CFR 1.16 and 1.17
	[ ]			ms small entity status.  See 3	37 CER 1 27 In the car's unit I am causing this paper
2.	X	Paymo	nt Encl	osed: X Check	Man Post Care to Addressee* service on the de
2.		rayiile	III LIICI	Credit Ca	ard Commissioner for Patents, Wash
				Money O	1 2 Will Offilling and the transfer from
				Other	0
CCC	CALCIII	ATION			Date of Deposit
FEE	CALCUL	ATION			Lowid a Norval
1.	BASIC F	ILING F	EE		Name of Person Mailing Corresponden
١.					Chrilence 9/2
	Entity Each	Small I	Entity Fee		Signature
Fee Code	Fee (\$)	Fee Code	(\$)	Fee Description	Fee Paid
101	710	201	355	Utility application filing fee	
106	320	206	160	Design application filing for	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional application fili	ling fee
					SUBTOTAL (1) \$710.00
2.	EXTRA	CLAIM I	FEES		Fee from
				Extra Claims	<u>below</u> <u>Fee Paid</u>
Total	l Claims	27		<b>- 20** =</b> 7	X 18.00 = 126.00
	pendent		6	-3** = <u>3</u>	X = 80.00 = 240.00
	ple Depe				=
		previous		d, if greater; For Reissues,	, see below.
	Entity	Small I			· ·
Fee Code	Fee	Fee	Fee (\$)	Fee Description	
103	· (\$) 18	Code 203	(\$) 9	Claims in excess of 20	
103	80	202	40	Independent claims in exce	ess of 3
104	270	204	135	Multiple dependent claim, i	if not paid
109	80	209	40	**Reissue independent clai	ims over original patent
110	18	210	9	**Reissue claims in excess	s of 20 and over original patent
					SUBTOTAL (2) \$ 366.00
FEE	CALCUL	ATION (	contin	ued)	• • • • • • • • • • • • • • • • • • • •

Docket No. 42390P12314

3.	ADDITIO	NAL FEE	S		
Large	<b>Entity</b>	Small E	ntity		
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	<del></del> _
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	<del></del>
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (see 37 CFR 1.129(b))	<del></del>
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other	fee (specif	fy) _			
Other	fee (specif	fy) _			<del></del>
				SUBTOTAL (3)	\$0.00
*Redu	ced by Basic	c Filing Fee	Paid	SUBTUTAL (3)	\$ <u>0.00</u>
SUBI	MITTED B	<u>Y</u> :			
Туре	d or Printe	ed Name:	Gorde	on R. Lindeen III	
	ature:	MU W	Millia	Date: September 28,	2001
Reg.	Number:	<u>33,192</u>		Telephone Number: <u>303-740-1980</u>	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.